



10-11-02

October 10, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
Washington, D.C. 20231

Re: Application No. : 09/788,331  
Filing Date : February 16, 2001  
Applicant(s) : Peter C. Sercel, Kerry J. Vahala, David W. Vernoooy, and  
Guido Hunziker  
Title : **FIBER-RING OPTICAL RESONATORS**  
Art Unit : 2882  
Examiner Name : George Wang  
Reference : ALG07NP

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with duty of disclosure under 37 CFR §§ 1.56, 1.97 and in accordance with the provisions in the Manual of Patent Examining Procedures §§ 609 and 707.05 (b), enclosed is form PTO/SB/08A listing the references that are known to the applicant(s) and copies of documents listed thereon. Consideration of each of these documents by the Patent Examiner, and the making of each of them of record in the file of this application, are respectfully requested.

The Commissioner is hereby authorized to charge any fees under 37 CFR §§ 1.16 and 1.17, which may be required for this Information Disclosure Statement to our Deposit Account Number 50-1367, in the name of Riordan & McKinzie. A duplicate copy of this letter is also enclosed.

Riordan & McKinzie  
300 South Grand Avenue  
Twenty-Ninth Floor  
Los Angeles, CA 90071

Respectfully Submitted,

Scott R. Miller  
Reg. No. 32,278  
of RIORDAN & MCKINZIE

Enclosures

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Application No. 09/788,301  
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(37 CFR § 1.8A)

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